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**To:** Examiner Terry A. McKelvey  
**Company:** USPTO Group Art Unit 1636  
**Fax Number:** 703-872-9306  
**Phone Number:** 703-305-7213

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**Total Pages**  
**Including Cover:**

7

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**From:** Scott A. Chambers  
**Sender's Direct Line:** (703) 744-8085  
**Date:** April 14, 2004  
**Client Number:** 020728.0101PTUS

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**Comments:**

**Confirmation Number:** 8979  
**U.S. Reissue Application No.** 09/404,979  
**Filed:** September 22, 1999  
**For:** PEPTIDE-MEDIATED GENE TRANSFER

**The attached documents are being provided to the Examiner as a courtesy copy. Enclosed is a copy of the following documents:**

- Transmittal Letter
- Information Disclosure Statement including Information Disclosure Citation Forms Request for Continued Examination
- PTO date-stamped Postcard Receipt confirming receipt of filing

**Originals of the above-listed documents were filed in the USPTO via Hand Carry on April 7, 2004.**

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- Request for Continued Examination
- Fee Transmittal
- Petition for Extension of Time
- Information Disclosure Statement with SB/08 and (2) References
- Amendment and Request for Continued Examination
- Declaration Under 37 C.F.R. § 1.131
- Check number 1131.
- PTO date-stamped Postcard Receipt confirming receipt of filing

Originals of the above-listed documents were filed in the USPTO via Hand Carry on March 10, 2004.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

020728.0101PTUS

PATENT

Applicant	:	Gopal	)	Group Art Unit: 1636
			)	
Reissue Appl.	:	09/404,979	)	
			)	
Filed	:	September 22, 1999	)	Information Disclosure
			)	Statement
For	:	PEPTIDE-MEDIATED	)	Under 37 C.F.R. § 1.98
		GENE TRANSFER	)	
			)	
Examiner: McKelvey, T.			)	


## TRANSMITTAL LETTER

Honorable Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed for filing in the above-identified application are:

- ( x ) An Information Disclosure statement.
- ( x ) A list of U.S. patents submitted for consideration to the Office.
- ( x ) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of fees associated with this communication to Deposit Account No. 50-2228.

By:   
Scott Chambers  
Registration No. 37,573

Patton Boggs, LLP  
8484 Westpark Drive  
9<sup>th</sup> Floor  
McLean, Virginia 22102  
(703) 744-8085 (direct)  
(703) 744-8001 (facsimile)  
schambers@pattonboggs.com

Reissue Application No. 09/404,979

-1-

Attorney Docket No. 020728.0101

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

020728.0101PTUS

PATENT

Applicant	:	Gopal	)	Group Art Unit: 1636
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Reissue Application No. 09/404,979

Attorney Docket No. 020728.0101

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U.S. Patent and Trademark Office

PTO/SB/17 (10-03)  
OMB 0651-0032  
Office: U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 860**Complete if Known**

Application Number	08/404,878
Filing Date	September 22, 1999
First Named Inventor	GOPAL
Examiner Name	T. McKelvey
Art Unit	1636
Attorney Docket No.	020728.0101PTUS

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																																																																			
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<input type="checkbox"/> Deposit Account: Deposit Account Number: 50-2228 Deposit Account Name: Patton Boggs LLP		<table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>60</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td>210</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td>475</td><td>Extension for reply within third month</td><td>475.00</td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td>740</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td>1,005</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2403</td><td>145</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavailability</td><td></td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td>665</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td>665</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td>240</td><td>Design issue fee</td><td></td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td>320</td><td>Plant issue fee</td><td></td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17 (g)</td><td></td></tr> <tr><td>1808</td><td>160</td><td>1808</td><td>160</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>1809</td><td>770</td><td>2809</td><td>385</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>1810</td><td>770</td><td>2810</td><td>385</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td>385</td><td>Request for Continued Examination (RCE)</td><td>385.00</td></tr> <tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - 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\*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Kellie L. Carden	Registration No. (Attorney/Agent)	52.696	Telephone	703-744-7919
Signature	Kellie L. Carden	Date	March 10, 2004		

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Docket No.: 020728.0101 ISAtty.: KLC:cicApplicants: GOPALReissue of Serial No.: 09/404,979Filing Date: September 22, 1999Delivered Via: HAND CARRY (Speedy Courier)For: PEPTIDE-MEDIATED GENE TRANSFER

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 1) Request for Continued Examination (1 Page)
- 2) Fee Transmittal Form (1 Page)
- 3) Petition for Extension of Time (1 Page)
- 4) Information Disclosure Statement (3 Pages)
- 5) PTO-SB/08 Information Disclosure Citation Forms (2 Pages)
- 6) Two (2) References (5 Pages)
- 7) Amendment and Request for Continued Examination (23 Pages)
- 8) Declaration Under 37 C.F.R. § 1.131 (8 Pages)
- 9) Check No. 1131 for \$860  
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Applicant:	Gopal	Group Art Unit: 1636
Reissue Appl.:	09/404,979	
Filed:	September 22, 1999	Examiner: McKelvey, T.
For:	PEPTIDE-MEDIATED GENE TRANSFER	

- 1) Transmittal Letter (1 page)
- 2) Information Disclosure Statement (4 pages)
- 3) PTO-1449 Form (SB/08) (4 pages)

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